Mechanistic Interpretability

Papers WT 2024/25

Frederick Riemenschneider



17.10.2024

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Own Ideas

- It is possible to propose your own papers.
- If you have a proposal, please approach me as soon as possible so that I can integrate the proposal into the semester plan as effectively as possible.

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References

Elhage et al. (2022)

- fundamental investigations of superposition
- toy models to understand behavior
- How do they behave and why?
- Gurnee et al. (2023)
 - sparse linear classifiers (probing) to examine individual neurons
 - What responsibilities do neurons in different layers have?
 - interesting case studies
 - mono- vs. polysemanticity

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Li et al. (2023)

- Othello: board game
- linear and non-linear probing to discover game states
- "Can we understand what a model represents and what does it represent?"
- The model seems to have a non-linear representation of the board.
- Nanda, Lee, et al. (2023)
 - more probing for the same task
 - The model seems to have a linear representation of the board.

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■ Wang et al. (2022)

- hypothesis: transformers have subnetworks that are responsible for certain tasks
- IOI task
- attempt to understand how the model solves this
- Conmy et al. (2023)

attempt to automatically uncover transformer circuits

- Shi et al. (2024)
 - "Do these circuits actually exist?"
 - critical view on the circuit hypothesis

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Meng, Bau, et al. (2022)

- activation patching = replacing some activation values with different values
- activation patching as one way to edit models post-hoc
- "Where do language models store their knowledge?"
- Meng, Sen Sharma, et al. (2022)
 - follow-up paper with better performance and large-scale editing
- Pinter and Elhadad (2023)
 - position paper with a critical view on editing

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Dictionary Learning I

- Bills et al. (2023)
 - language models can explain the function of individual neurons
- Bricken et al. (2023)
 - obstacles: superposition and polysemanticity
 - features are not trivially represented as neurons
 - uncover features by training sparse autoencoders
- Huben et al. (2024)
 - additional material for essentially the same idea

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Dictionary Learning II

- Karvonen et al. (2024)
 - "SAEs are cool, but how can we evaluate them?"
 - games as benchmarks
- Makelov et al. (2024)
 - more general approach with supervised feature dictionaries as ground truth

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Suau et al. (2022)

- different take on how to find features
- construct binary datasets with positive and negative examples
- Kojima et al. (2024)
 - follow-up work on multilingual language models
 - "Can we find language neurons?"

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■ Tang et al. (2024)

- methodology independent but very similar to Kojima et al. (2024)
- detection via entropy
- Zhao et al. (2024)
 - focus on knowledge
 - case study showing activation patterns for different languages

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Liu et al. (2022)

- theory and experiments on grokking
- "When, how, and why do language models generalize?"
- Nanda, Chan, et al. (2023)
 - more mechanistic view on grokking
 - "Is it possible to track learning progress live and predict grokking?"

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References

- Ghandeharioun et al. (2024)
 - broad framework that categorizes most earlier approaches
 - studies on next word prediction, attribute extraction, and entity resolution
- Huang et al. (2024)
 - new alternative to autoencoders
 - rigorous experiments in toy settings

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References

Park et al. (2024)

How are hierarchies represented in language models?interesting findings, experiments with WordNet

- Ahuja et al. (2024)
 - syntactical hierarchies
 - language modeling objective as reason for hierarchical generalization?

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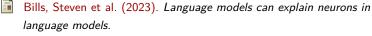
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References

Ahuja, Kabir et al. (2024). "Learning Syntax Without Planting Trees: Understanding When and Why Transformers Generalize Hierarchically". In: *ICML 2024 Workshop on Mechanistic Interpretability*. URL:

https://openreview.net/forum?id=YwLgSimUIT.



https://openaipublic.blob.core.windows.net/neuronexplainer/paper/index.html.

Bricken, Trenton et al. (2023). "Towards Monosemanticity: Decomposing Language Models With Dictionary Learning". In: *Transformer Circuits Thread*. https://transformercircuits.pub/2023/monosemantic-features/index.html.

Conmy, Arthur et al. (2023). "Towards Automated Circuit Discovery for Mechanistic Interpretability". In: *Thirty-seventh Conference on Neural Information Processing Systems*. URL: https://openreview.net/forum?id=89ia77nZ8u. Papers

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Elhage, Nelson et al. (2022). "Toy Models of Superposition". In: *Transformer Circuits Thread*.

 $https://transformer-circuits.pub/2022/toy_model/index.html.$

- Ghandeharioun, Asma et al. (2024). "Patchscope: A unifying framework for inspecting hidden representations of language models". In: *arXiv preprint arXiv:2401.06102*.
- Gurnee, Wes et al. (2023). "Finding Neurons in a Haystack: Case Studies with Sparse Probing". In: *arXiv preprint arXiv:2305.01610*.
 - Huang, Xinting et al. (2024). "InversionView: A General-Purpose

Method for Reading Information from Neural Activations". In: ICML 2024 Workshop on Mechanistic Interpretability. URL: https://openreview.net/forum?id=P7MW0FahEq.

Huben, Robert et al. (2024). "Sparse Autoencoders Find Highly Interpretable Features in Language Models". In: *The Twelfth International Conference on Learning Representations*. URL: https://openreview.net/forum?id=F76bwRSLeK. Papers

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Karvonen, Adam et al. (2024). "Measuring Progress in Dictionary Learning for Language Model Interpretability with Board Game Models". In: ICML 2024 Workshop on Mechanistic Interpretability. URL: https://openreview.net/forum?id=qzsDKwGJyB. Kojima, Takeshi et al. (2024). "On the Multilingual Ability of Decoder-based Pre-trained Language Models: Finding and Controlling Language-Specific Neurons". In: Proceedings of the 2024 Conference of the North American Chapter of the Association for Computational Linguistics. Mexico City, Mexico: Association for Computational Linguistics, pp. 6912–6964. URL: https://aclanthology.org/2024.naacl-long.384. Li, Kenneth et al. (2023). "Emergent World Representations: Exploring a Sequence Model Trained on a Synthetic Task". In: The Eleventh International Conference on Learning Representations.

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Liu, Ziming et al. (2022). "Towards Understanding Grokking: An Effective Theory of Representation Learning". In: Advances in Neural Information Processing Systems. Ed. by Alice H. Oh et al. URL: https://openreview.net/forum?id=6at6rB3IZm. Makelov, Aleksandar, Georg Lange, and Neel Nanda (2024). "Towards Principled Evaluations of Sparse Autoencoders for Interpretability and Control". In: ICLR 2024 Workshop on Secure and Trustworthy Large Language Models. URL: https://openreview.net/forum?id=MHIX9H8aYF. Meng, Kevin, David Bau, et al. (2022). "Locating and Editing Factual Associations in GPT". In: Advances in Neural Information Processing Systems. Ed. by Alice H. Oh et al. URL: https://openreview.net/forum?id=-h6WAS6eE4. Meng, Kevin, Arnab Sen Sharma, et al. (2022). "Mass Editing

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 Nanda, Neel, Andrew Lee, and Martin Wattenberg (Dec. 2023). "Emergent Linear Representations in World Models of Self-Supervised Sequence Models". In: Proceedings of the 6th
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https://aclanthology.org/2023.blackboxnlp-1.2. Park, Kiho et al. (2024). "The Geometry of Categorical and Hierarchical Concepts in Large Language Models". In: *ICML 2024 Workshop on Mechanistic Interpretability*. URL: https://openreview.net/forum?id=KXuYjuBzKo. Papers

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Suau, Xavier, Luca Zappella, and Nicholas Apostoloff (2022). "Self-Conditioning Pre-Trained Language Models". In: *International Conference on Machine Learning*.

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https://aclanthology.org/2024.acl-long.309.

Wang, Kevin et al. (2022). "Interpretability in the wild: a circuit for indirect object identification in gpt-2 small". In: *arXiv preprint arXiv:2211.00593*.

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Zhao, Xin, Naoki Yoshinaga, and Daisuke Oba (Mar. 2024). "Tracing the Roots of Facts in Multilingual Language Models: Independent, Shared, and Transferred Knowledge". In: *Proceedings* of the 18th Conference of the European Chapter of the Association for Computational Linguistics (Volume 1: Long Papers). Ed. by Yvette Graham and Matthew Purver. St. Julian's, Malta: Association for Computational Linguistics, pp. 2088–2102. URL: https://aclanthology.org/2024.eacl-long.127.